

1 **Modeling continuous grain crushing in granular media: a hybrid**
2 **peridynamics and physics engine approach**

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10 **Abstract**

11 Numerical modeling of crushable granular materials is a challenging but important topic across
12 many disciplines of science and engineering. Commonly adopted modeling techniques, such as
13 those based on discrete element method, often over-simplify the complex physical processes of
14 particle breakage and remain a far cry from being adequately rigorous and efficient. In this paper
15 we propose a novel, hybrid computational framework combining peridynamics with a physics
16 engine to simulate crushable granular materials under mechanical loadings. Within such
17 framework, the breakage of individual particles is analyzed and simulated by peridynamics,
18 whilst the rigid body motion of particles and inter-particle interactions are modeled by the
19 physics engine based on a non-smooth contact dynamics approach. The hybrid framework
20 enables rigorous modeling of particle breakage and allows reasonable simulation of irregular
21 particle shapes during the continuous breakage process, overcoming a glorious
22 drawback/challenge faced by many existing methods. We further demonstrate the predictive
23 capability of the proposed method by a simulation of one-dimensional compression on crushable
24 sand, where Weibull statistical distribution on the particle strength is implemented. The
25 simulation results exhibit reasonable agreement with experimental observations with respect to
26 normal compression line, particle size distribution, fractal dimension, as well as particle
27 morphology. The presented work provides a rigorous and efficient way to study the complex
28 process of particle breakage in granular media, and offers future opportunities to examine micro-
29 structural behaviours of crushable granular materials.

30
31 Keywords: peridynamics; physics engine; contact dynamics; continuous particle breakage;
32 granular materials
33

34 **1. Introduction**

35 Particle breakage signifies a fundamental physical process associated with important
36 industrial handling of granular materials and underpins many macroscopic properties of granular
37 materials such as strength, dilatancy, and permeability [1-3]. Numerical modeling of particle
38 breakage in granular materials holds high practical significance in a wide range of fields
39 including geotechnical engineering, chemical engineering, mining and pharmaceutical industries.
40 Yet the modeling techniques remain far from being rigorous and efficient due to the complex
41 nature of breaking processes. Challenges arise from both the particle level and the representative
42 volume element (RVE) level, where initiation and growth of cracks inside a single grain as well
43 as continuous evolution of particle size and shape in an assembly need to be properly modeled. A
44 desirable numerical approach should enable discrete simulation of a granulate system containing
45 particles with various sizes and shapes which evolve continuously through the loading process. It
46 should allow rigorous modeling of fracturing process of individual particles, while maintaining a
47 practically reasonable computational efficiency. The existing development in particle breakage
48 modeling remains far from being satisfactory.

49
50 The research community has long favoured discrete element method (DEM) [4] for the study
51 of granular materials. Breakage of particles has been handled with many simplifications under
52 the framework of DEM. Prevailing approaches include clumped sphere approach [5-8] in which
53 each crushable particle is modeled by multiple elementary spheres/disks bonded together, and
54 particle replacing approach [9-14] where a particle is replaced by several child particles when a
55 pre-set crushing condition is met. Using clumped spheres is computationally expensive and the
56 total number of particles that can be practically simulated is often limited. It is also debatable
57 whether a fracture problem can be reliably simulated by DEM in view of its discrete modeling
58 nature. The particle replacing approach offers better computational efficiency but many
59 assumptions have to be made with respect to the particle crushing conditions and the
60 composition of child particles, which are frequently arbitrary and overly simplified. Importantly,
61 if spheres are used to model the child particles, one tends to neglect particle shape - an important
62 attribute of particles which influences not only the macroscopic material behaviours but also
63 subsequent crushing of an assembly [15]. Recent advances in DEM have witnessed the use of
64 polyhedral particles [16-20] intending for more realistic particle shape modeling. The breakage
65 of particles is handled either by splitting a particle into several smaller polyhedrons [17], or by
66 employing breakable cohesive bonds between pre-defined progenies [21-23] which is
67 conceptually akin to the clumped sphere approach. An alternative approach to model realistic
68 shape particles refers to the level set DEM [24], yet its application in modeling crushable
69 granular materials has not emerged to the best knowledge of the authors. The DEM based
70 approach is in general computationally demanding and its efficiency worsens quickly especially
71 when particle breakage is considered due to drastic increase in number of particles and
72 increasingly small time step required for numerical stability, which limits the appealingness of
73 such approach.

74
75 Particle breakage results in continuous transformation of materials from both bulk responses
76 to discrete properties. As such, a hybrid approach, which simulates the physics of both a discrete
77 granular system and particle fracturing process, appears to be appropriate towards more rigorous
78 modeling of crushable granular materials. Various hybrid modeling schemes have been proposed,

79 while much attention has been given to the finite-discrete element method (FDEM) [25-29]
80 where DEM is utilized for modeling granular system and finite element method (FEM) is
81 employed for modeling fracturing of individual particles. The approach is computationally
82 demanding and current development in computing power remains unsatisfactory to give a full
83 play to its advantages. Evidently, analyzing continuous particle breakage in 3D cases for a large
84 granular system is overwhelming with the FDEM approach due to excessive cost on remeshing
85 and detailed calculations of stress field within each particle. Some variant approaches to FDEM
86 have also been proposed. For example, Raisianzadeh et al. [30] have combined DEM with
87 extended finite element method (XFEM) since XFEM is less mesh dependent in predicting crack
88 path. Nonetheless, there remain challenges in applying XFEM in handling 3D domain with
89 complex geometrical and loading conditions. There are also a few other hybrid approaches in
90 addition to the FDEM. Prevailing ones include the combination of discontinuous deformation
91 analysis (DDA) with numerical manifold method (NMM) or FEM [31-32]. These methods were
92 often adopted in modeling 2D rock slope failure where rock fracturing is captured by NMM or
93 FEM and sliding of fractured rock pieces is handled by DDA. There appeared no application of
94 such methods for 3D simulation of crushable granular materials, probably due to excessive
95 computational cost.

96
97 In this paper we present a novel hybrid computational framework to combine peridynamics
98 with a contact dynamics (CD) based physics engine for simulation of crushable granular
99 materials. The framework is founded on the concept that peridynamics be utilized for breakage
100 analysis of individual particles whilst CD be utilized for modeling the rigid body motions of
101 particles and contact interactions between particles. Peridynamics [33-34] is a continuum-based
102 mesh free method receiving increasing attention in the realm of fracture analysis over the past
103 decade. It has been utilized for simulating fracture in many elastic brittle materials such as rock
104 [35], glass [36], as well as silica sand particles [37]. Geomaterials with more complex behaviors
105 can also be handled with peridynamics by implementing proper material model [38]. The method
106 is adaptive to complex geometries and loading conditions and is computationally efficient,
107 making it advantageous over traditional fracture analysis methods such as XFEM. Nonetheless,
108 peridynamics alone does not support efficient discrete modeling of a particulate granular system
109 due to high computational cost on contact detection and modeling. To compensate such
110 drawback, a CD approach [39-40], or sometimes referred to as non-smooth contact dynamics or
111 granular contact dynamics, has been employed for modeling the granular system. The CD
112 represents an alternative to the traditional, penalty-based DEM. Many open-source libraries,
113 namely physics engines, have been developed based on the concept of CD. Prevailing ones
114 include Bullet [41], Project Chrono [42], Box2D [43-44], and ODE [45]. Although many physics
115 engines were originally developed for fast simulations for games and animations, there have
116 been increasing applications of them in scientific studies. In present study we have chosen the
117 Bullet physics library for simulation, in view that it has been developed and tested in a variety of
118 simulations of granular materials including densification [46], direct shear [47], and granular
119 flow [48-49] where promising results were obtained. There are two-fold reasons for selecting a
120 physics engine for discrete modeling here. First, it allows seamless integration of irregular
121 particle shapes since the contact force network is solved in physics engine as a complementary
122 problem where only contact locations need to be determined. Different particle shapes do not
123 directly incur difficulties in solving the contact forces. This is advantageous over traditional
124 penalty-based DEM where contact force is calculated based on overlapping of particles which

125 leads to complex contact modeling algorithms for irregular shape particles [50-52]. Second,
126 unlike the penalty-based DEM which requires time step to be sufficiently small to maintain
127 numerical stability, the CD approach generally allows larger time step and faster computation
128 [49,53]. Such feature is particularly appealing when simulating crushable granular material for
129 which traditional DEM proves to be too expensive.

130

131 Specifically, the presented hybrid computational framework serves three undocumented
132 features on particle crushing modeling. First, it offers a rigorous way to model breakage of
133 individual particles by peridynamics instead of imposing a variety of arbitrary assumptions on
134 breakage conditions and breakage patterns. Continuous particle breakage is readily handled by
135 peridynamics too. Second, particles are modeled using polyhedrons which allows more realistic
136 modeling of particle morphologies during the continuous crushing process. Moreover, the overall
137 computational cost can be maintained in practically acceptable range as both physics engine and
138 peridynamics are efficient for the tasks they are assigned to. Nonetheless, there remain room to
139 further improve its computational efficiency by implementing GPU based parallel computing
140 techniques, which is beyond the scope of current study but points out a viable direction for future
141 development of the presented framework.

142

143 In what follows, we first present the theoretical basis and principle for the hybrid
144 peridynamics and physics engine method. We then employ the proposed method to simulate a
145 one-dimensional (1-D) compression of crushable sand as a demonstration. Weibull statistics on
146 particle strength [54-56] has been implemented in the simulation to describe the strength of
147 natural material. Comparison with experimental records is made for the simulation results for
148 validations. Further discussion is made regarding its potential and future development in
149 analyzing crushable granular media.

150

151 2. Theory

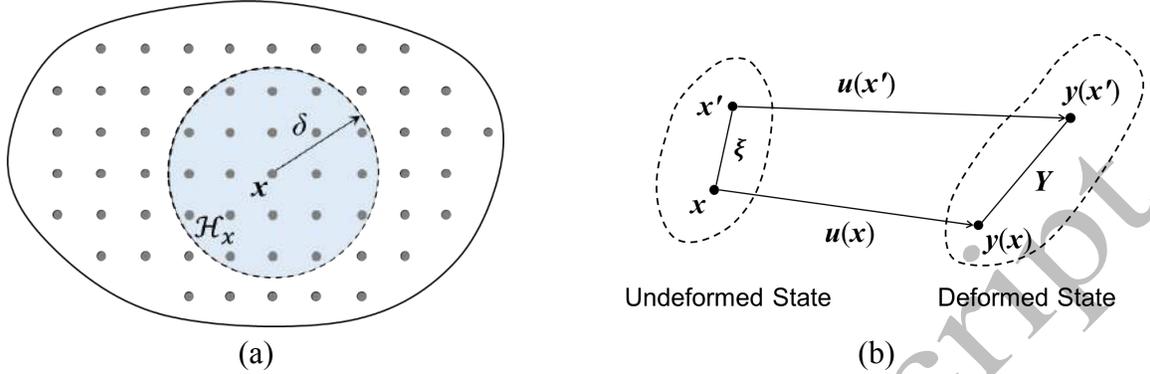
152 2.1 Peridynamics

153 In the present study we employ peridynamics for modeling breakage of single grains. The
154 method utilizes a particle-based approach for modeling continuum material. A material domain
155 is first discretized into peridynamic material points, each representing a certain volume of the
156 continuum body. The material points interact with each other through peridynamic bonds
157 established between a material point and each other point within its family. The family of a
158 material point is defined by *horizon* as illustrated in Fig. 1(a). In the current study, the *ordinary*
159 *state-based peridynamics* [34] is employed, with an assumption that the modeled particles are
160 isotropic material. The basic equation can be written as:

$$\rho(\mathbf{x})\ddot{\mathbf{u}}(\mathbf{x}, t) = \int_{\mathcal{H}_x} [\mathbf{T}(\mathbf{x}, t) \langle \mathbf{x}' - \mathbf{x} \rangle - \mathbf{T}(\mathbf{x}', t) \langle \mathbf{x} - \mathbf{x}' \rangle] dV_{x'} + \mathbf{b}(\mathbf{x}, t) \quad (1)$$

161 where $\rho(\mathbf{x})$ represents material density at material point \mathbf{x} , $\mathbf{u}(\mathbf{x}, t)$ represents the displacement of
162 material point \mathbf{x} at time t . The force state \mathbf{T} quantifies bond force between a material point and a

163 neighboring point. \mathcal{H}_x represents the neighborhood set of \mathbf{x} , $dV_{x'}$ is the volume represented by \mathbf{x}' ,
 164 and \mathbf{b} denotes a body force density.
 165



166 Fig. 1. Illustration of concepts in peridynamics: (a) peridynamic material point \mathbf{x} and its
 167 family \mathcal{H}_x , defined by a horizon δ ; (b) bond vector ξ , displacement vector \mathbf{u} , and deformation
 168 vector \mathbf{Y} .
 169

170 In the present study we adopt a linear peridynamic solid (LPS) material model [34] which is a
 171 non-local analogy to the classical linear elastic material model. In LPS model, the force state \mathbf{T} is
 172 calculated by:

$$\mathbf{T} = \left(\frac{3K\vartheta}{m\langle \mathbf{x} \rangle} \phi \underline{x} + \frac{15\mu}{m\langle \mathbf{x} \rangle} \phi \underline{e}^d \right) \frac{\mathbf{Y}}{\|\mathbf{Y}\|} \quad (2)$$

173 where μ and K represent shear and bulk modulus, respectively, ϕ is an influence function taken
 174 to be one in this study. \mathbf{Y} represents deformation vector between two material points \mathbf{x} and \mathbf{x}' as
 175 illustrated in Fig. 1(b). \mathbf{Y} can be calculated by $\xi + \mathbf{u}(\mathbf{x}', t) - \mathbf{u}(\mathbf{x}, t)$ where ξ represents the bond
 176 vector between \mathbf{x} and \mathbf{x}' . \underline{x} is a position scalar state whose value at ξ equals $\|\xi\|$. $m\langle \mathbf{x} \rangle$ defines a
 177 weighted volume at material point \mathbf{x} and ϑ represents dilation. They are defined as:

$$m\langle \mathbf{x} \rangle = \int_{\mathcal{H}_x} \phi \|\xi\|^2 dV_{x'} \quad (3)$$

$$\vartheta\langle \mathbf{x} \rangle = \frac{3}{m\langle \mathbf{x} \rangle} \int_{\mathcal{H}_x} \phi \|\xi\| \underline{e} dV_{x'} \quad (4)$$

178 where the scalar extension state \underline{e} consists of an isotropic part \underline{e}^i and a deviatoric part \underline{e}^d , and can
 179 be calculated by $\underline{e} = \underline{e}^i + \underline{e}^d = \|\mathbf{Y}\| - \|\xi\|$. The isotropic part is defined by $\underline{e}^i = \vartheta\langle \mathbf{x} \rangle \underline{x} / 3$ and
 180 the deviatoric part can be obtained by subtracting the isotropic part from the scalar extension
 181 state.
 182

183 Fracturing is modeled by allowing the peridynamic bonds to break. Once broken, a bond no
 184 longer carries any force and the force originally borne will be redistributed to its neighboring
 185 bonds. Such process may lead to successive breakage of bonds and eventually form a fracture
 186 surface. Breakage of peridynamic bonds is determined by a critical stretch damage model [57],

187 where a bond is considered broken when its strain reaches a critical level, s_c , defined according
188 to Madenci & Oterkus [58] as:

$$s_c = \sqrt{\frac{G_c}{\left(3\mu + \left(\frac{3}{4}\right)^4 \left(K - \frac{5\mu}{3}\right)\right) \delta}} \quad (5)$$

189 where δ represents the horizon and G_c represents critical energy release rate which is a material
190 constant that can be determined from experiment. For the sand particles modeled in this study,
191 the G_c is taken to be 30 J/m² for a base case where a 2 mm diameter particle has a characteristic
192 strength of 45 MPa in consideration of past experimental study [59] and calibration with single
193 particle crushing tests [60]. The horizon is taken to be 3 times of the element size in a cubic
194 pattern discretization following common practice in peridynamic modeling [61-63].

195
196 Although literatures have shown that peridynamics can also be used to handle discrete objects
197 by implementing a spring-like contact model between material points [57,64-66], the contact
198 detection and modeling were found to be excessively time consuming which prevents its
199 application in simulating a large number of three-dimensional discrete objects [64]. As such, to
200 handle a granular system with crushable particles, peridynamics alone appears inadequate, and a
201 separate discrete modeling tool is necessary to form an efficient numerical framework.

202

203 2.2 Discrete modeling

204 In the present study we employ the Bullet physics engine, which follows a CD approach, for
205 modeling granular system. Application of physics engine in simulating granular materials is
206 relatively recent. The embedded procedures in physics engine may be categorized into three
207 phases: collision detection, contact resolution and time integration. In the Bullet physics engine,
208 collision detection is performed in two phases, initiated by a broad phase which utilizes axis-
209 aligned bounding box (AABB) algorithm to identify objects that can potentially collide, and
210 followed by a narrow phase which determines the location of contact points and penetration
211 depth if objects overlap. For convex polyhedrons, the Gilbert-Johnson-Keerthi (GJK) algorithm
212 [67] is utilized for collision detection, supplemented by an expanding polytope algorithm (EPA)
213 [68] for computing penetration depth when overlapping occurs. The GJK algorithm computes
214 Minkowski difference of two convex hulls to determine if they collide. It has been recognized as
215 an efficient algorithm for contact detection of convex shapes and prevails in physics engines. For
216 concave polyhedrons (with triangulated surface), collision detection is performed on triangle
217 level, making the computation more expensive than that of convex shapes. Therefore,
218 simplifying a concave shape into convex shape is demanded if the object does not possess high
219 concavity.

220

221 In physics engine, contact forces and external forces are modeled by impulses which alter the
222 velocity of objects instantly. Consequently, the velocity of objects is non-smooth and the
223 viscoelastic nature of contact event is not modeled. Such approach is favorable for simulating
224 quasi-static or slow flow process of samples consisting of high stiffness materials [53]. Unlike
225 traditional penalty-based DEM, where a slight overlapping between contacting objects is

226 computed and used for solving contact force, the physics engine theoretically does not cause
 227 objects to overlap and contact forces are obtained by solving constraint equations. For a pairwise
 228 contact case shown in Fig. 2, the constraints can be presented by:

$$v_n = (\mathbf{v}_2 - \mathbf{v}_1) \cdot \mathbf{n} \geq 0 \quad (6a)$$

$$v_t = (\mathbf{v}_2 - \mathbf{v}_1) \cdot \mathbf{t} = 0 \quad (6b)$$

229 on the normal and tangential directions, respectively. \mathbf{v}_1 and \mathbf{v}_2 represent the velocity at contact
 230 point on object 1 and 2, respectively. v_n and v_t thus represent the relative normal and tangential
 231 velocity of the two objects at the contact point, respectively. The normal constraint presented in
 232 Eq. (6a) reinforces that colliding objects will not move further toward each other (which incurs
 233 overlapping). The frictional constraint presented in Eq. (6b) tends to eliminate the relative
 234 movement of the colliding objects and the magnitude of frictional impulse is bounded by the
 235 Coulomb's law of friction.

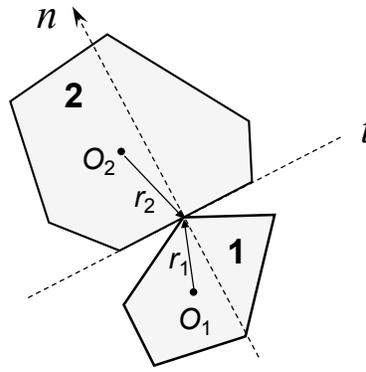


Fig. 2. Two colliding polygonal objects.

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 239 Implementation of the constraints stays on velocity and impulse level. At the time of
 240 constraints violation, a normal impulse and a tangential impulse as expressed by Eq. (7a) & (7b)
 241 will be applied on the colliding objects:

$$p_n = \frac{-(1 + e)v_n}{1/m_1 + 1/m_2 + \mathbf{I}_1^{-1}[(\mathbf{r}_1 \times \mathbf{n}) \times \mathbf{r}_1 \cdot \mathbf{n}] + \mathbf{I}_2^{-1}[(\mathbf{r}_2 \times \mathbf{n}) \times \mathbf{r}_2 \cdot \mathbf{n}]} \quad (7a)$$

$$p_t = \frac{-v_t}{1/m_1 + 1/m_2 + \mathbf{I}_1^{-1}[(\mathbf{r}_1 \times \mathbf{t}) \times \mathbf{r}_1 \cdot \mathbf{t}] + \mathbf{I}_2^{-1}[(\mathbf{r}_2 \times \mathbf{t}) \times \mathbf{r}_2 \cdot \mathbf{t}]} \quad (7b)$$

242 where e represents restitution whose magnitude equals the ratio of rebounding velocity and
 243 impacting velocity. For a sample consisting of closely packed particles, an effective restitution of
 244 zero can be expected [40]. m_1 , m_2 and \mathbf{I}_1 , \mathbf{I}_2 represent mass and moment of inertia of the two
 245 objects, and \mathbf{r}_1 and \mathbf{r}_2 represent vector from centroid to the contact location in the two objects as
 246 illustrated in Fig. 2. The normal impulse is a nonnegative value and the frictional impulse is
 247 bounded by Coulomb's friction law which can be expressed by $-fp_n \leq p_t \leq fp_n$ where f
 248 represents the coefficient of friction. Ideally, objects do not overlap if collision events can be
 249 well identified during the simulation. Practically, however, overlapping may occur due to
 250 insufficiently small time step. At the moment of particle crushing, the child particles may also
 251 experience a slight overlapping initially as a result of simplifications we made to the morphology

252 of child particles. A stabilization scheme [69] has been implemented to separate objects that have
 253 overlapped by applying a repulsive velocity, v_d , to the overlapping objects:

$$v_d = \beta \frac{\Delta d}{\Delta t} \quad (8)$$

254 where Δd is penetration depth and β is a penetration correction factor. A large β may quickly pull
 255 the overlapping objects apart but introduce apparent kinetic energy and drive the simulation
 256 unstable, whereas a too small β may not effectively separate overlapped objects. The factor is
 257 taken to be 0.003 for the problem simulated in this paper based on our experience.

258
 259 For a multi-body constraint system, the contact force network is mathematically considered as
 260 a complimentary problem (CP) [39]. The Bullet physics engine uses a Projected Gauss-Seidel
 261 (PGS) approach to solve the CP iteratively. In each iteration, the contact impulses at each
 262 pairwise contact are calculated based on the constraint conditions shown in Eq. (6a) & (6b) and
 263 the pairwise solutions shown in Eq. (7a) & (7b). After sufficient number of iterations, an
 264 admissible solution of the contact force network can be obtained. The number of iterations, N_{itr} ,
 265 largely affects the accuracy of the solution in the PGS solver. Practically, a relative error defined
 266 by $\varepsilon = \|f^k - f^{k-1}\| / \|f^k\|$ may be used to gauge the convergence of results where f^k represents the
 267 solution vector at k^{th} iteration. Asking for a very low ε would require a large N_{itr} which raises
 268 computational cost significantly [40] but deems unnecessary, while over-relaxing the
 269 requirement on ε may not provide sufficient accuracy. For a large granular system, the solution
 270 may not be unique from a mathematical point of view. Focuses are placed on finding an
 271 admissible solution on statistical or macroscopic level rather than the reproducibility of solution
 272 at local contact points. In the current study we conservatively adopted $N_{itr} = 2000$ for the
 273 majority of the simulation except at the beginning when the number of particles is small. The
 274 relative error in the iterations is generally controlled near or below 2×10^{-4} . The PGS method has
 275 provided a practical computational efficiency in current study. Nonetheless, it needs to be
 276 mentioned that a variety of other methods exist for solving CP, including direct methods such as
 277 the Lemke algorithm [70], and iterative methods such as the conjugate gradient and quadratic
 278 programming methods [71]. The PGS method is prevailing in physics engines probably due to its
 279 high efficiency in single iteration, ease in implementation, and small memory usage. For a large
 280 granular system consisting of particles with variable sizes, nonetheless, the PGS approach may
 281 not perform the best and it is worthwhile to explore other methods for better accuracy and
 282 efficiency, which may pave a further development to the numerical framework presented in this
 283 paper.

284
 285 Upon solving the contact impulses, the linear and angular velocities of objects are updated by:

$$\mathbf{v}_{(t+\Delta t)} = \mathbf{v}_t + m^{-1} \left[(\mathbf{f}^b + \mathbf{f}^{ext})\Delta t + \sum \mathbf{p}^c \right] \quad (9a)$$

$$\boldsymbol{\omega}_{(t+\Delta t)} = \boldsymbol{\omega}_t + \mathbf{I}^{-1} \left[(\mathbf{r}_b \times \mathbf{f}^b)\Delta t + (\mathbf{r}_{ext} \times \mathbf{f}^{ext})\Delta t + \sum (\mathbf{r}_i \times \mathbf{p}^c) \right] \quad (9b)$$

286 where \mathbf{f}^b and \mathbf{f}^{ext} represent body force and external force, respectively, \mathbf{r}_b and \mathbf{r}_{ext} represent the
 287 vector from centroid to the point where force acts, and \mathbf{p}^c represents contact impulse. The actual
 288 implementation of Eq. (9a) & (9b) is performed in a sequential manner in the Bullet physics
 289 engine, in other words, the velocities of objects are updated at each iteration. Contact force is not

290 directly computed but may be retrieved from the calculated contact impulse. Under quasi-static
291 or slow flow conditions, the contact force may be assumed constant over the time step Δt .
292 Dividing the contact impulse by time step Δt yields the contact force [49]. Time integration is
293 performed following:

$$\mathbf{y}_{(t+\Delta t)} = \mathbf{y}_t + \mathbf{v}_{(t+\Delta t)}\Delta t \quad (10a)$$

$$\boldsymbol{\theta}_{(t+\Delta t)} = \boldsymbol{\theta}_t + \boldsymbol{\omega}_{(t+\Delta t)}\Delta t \quad (10b)$$

294 where \mathbf{y} represents position and $\boldsymbol{\theta}$ represents rotation. The time integration scheme is known as
295 the symplectic Euler scheme which offers good numerical stability [49] and allows the use of
296 large time steps. For scientific simulations of granular material, a time step size on the order of
297 10^{-4} to 10^{-5} s is often adopted [40], which is several orders larger than the time step size typically
298 used in traditional DEM simulations, offering competitive computational efficiency.

299

300 **3. Hybrid peridynamics-physics engine approach for continuous grain crushing**

301 *3.1 Computational scheme*

302 The proposed framework integrates peridynamic method and physics engine for simulation of
303 crushable granular material. A computational scheme of the framework is shown in Fig 3. The
304 coupling of the two methods may be described by three major procedural stages as discussed
305 below with more technical details provided in the following section.

306

307 *Stage 1: Select particles for breakage analysis.* Apparently, it is neither economical nor
308 necessary to perform breakage analysis for each single particle in an assembly at each time step
309 of the physics engine computation. In this study we check the breakage of particles at prescribed
310 loading steps in the physics engine (e.g., every 0.08MPa vertical pressure interval of the 1D
311 compression problem). Selection of the interval for particle breakage analysis is based on a
312 balanced consideration of both accuracy and computational efficiency. A large interval may save
313 computational cost but underestimate the number of breakage events and adversely affect the
314 accuracy of the simulation. A small interval, on the other hand, can theoretically track the
315 breakage process more realistically, but at higher computational cost. One should select an
316 interval according to the specific need from the simulation and a sensitivity study is advisable. At
317 each time of breakage analysis, a screening process is conducted first to select particles which
318 are most likely to break. A breakage analysis threshold is established based on the maximum
319 contact force on a particle, F_{max} , for the screening process. Adopting such a criterion has good
320 supports from a variety of studies [37,72-73] where it has been suggested to be a reasonable
321 simplified criterion to determine breakage of a particle. For a spherical sand particle of 2.0 mm
322 diameter crushed under uniaxial forces, a F_{max} of approximately 180 N was recorded in both our
323 simulation and previous experiments [60]. The breakage analysis threshold was conservatively
324 set at 80 N, in view that different particle shapes and loading patterns may result in different F_{max}
325 at crushing. For particles with different sizes and strengths, the threshold is adjusted based on the
326 formulations presented in Section 4. The purpose of the screening process is to keep particles
327 that are unlikely to break out of the pool for breakage analysis, which is critical for efficient
328 simulation.

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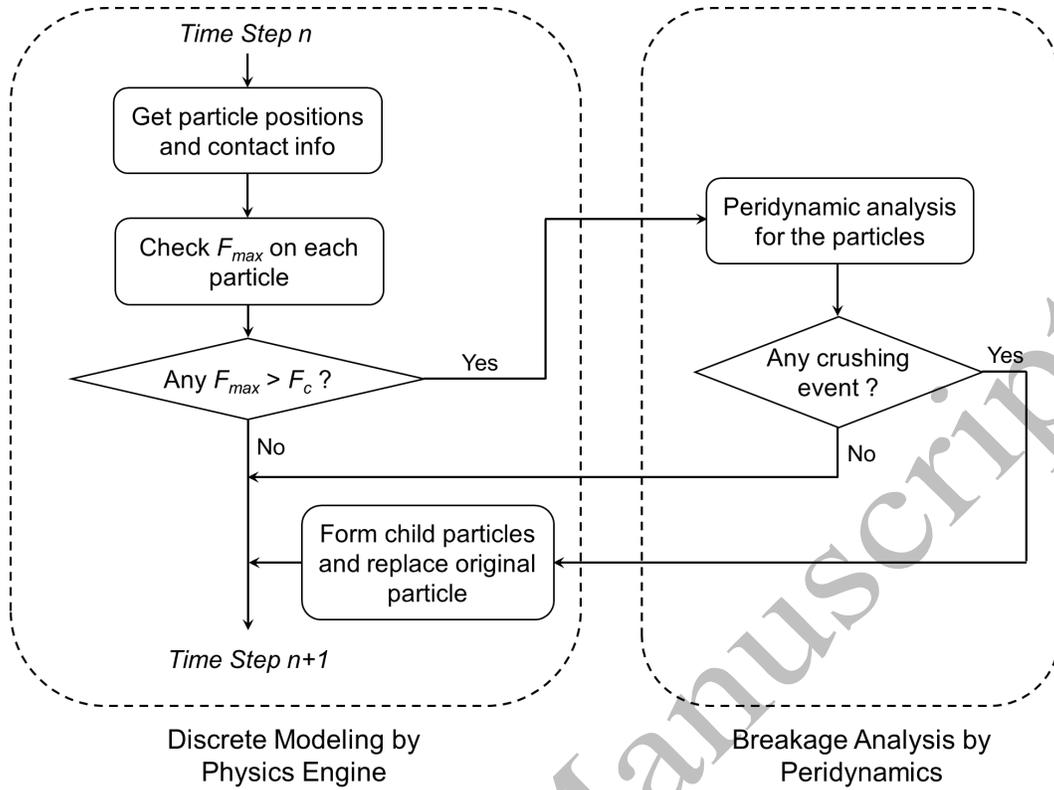


Fig. 3. Computational scheme of the combined peridynamics and physics engine framework.

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Stage 2: Initialize and perform peridynamic analyses. A peridynamic analysis is set up for each particle selected for breakage analysis. A particle is discretized into peridynamic material points following a cubic pattern. In the present study, the element size is selected to be approximately $0.062d_e$ where d_e is the equivalent diameter of the particle (i.e., the diameter of a sphere having the same volume to the particle). Such discretization density in general creates 2000 to 2500 material points for each particle after discretization, which offers reasonable results in our simulations. A denser discretization may achieve better accuracy in obtaining fracture surface at the cost of computational efficiency. However, as we do not intend to model local morphology of particles with extremely high resolution, using a denser discretization appears to be unnecessary. A sensitivity study also reveals that denser discretization does not lead to noticeable change in macroscopic results as presented in Section 5. Contact forces are applied at contact locations obtained from the physics engine. Since peridynamics does not allow traction boundary condition, contact force is applied on a volume defined by a contact radius as illustrated in Fig. 4. In the present study the contact radius is taken to be 2 times the element size. Contact force is uniformly distributed among the material points within the assumed contact zone. Application of contact forces follows linear increment with time. The contact zone corresponding to the maximum contact force is fixed to prevent movement or rotation of the particle during peridynamic analysis. No force will be applied to material points within the fixed zone.

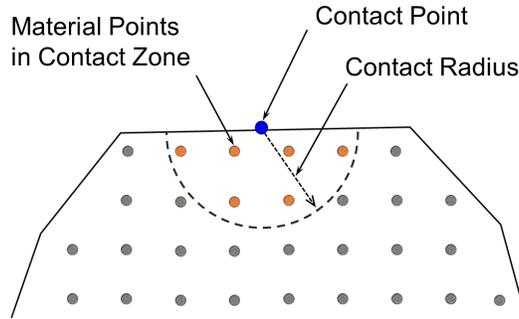


Fig. 4. Illustration of assumed contact zone

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In this framework, the peridynamic analyses of individual particles are designed to be independent of each other. A parallel computing scheme is implemented by distributing the work among multiple threads on CPU, which brings remarkable enhancements on computational efficiency. For instance, if 100 particles are selected for breakage analysis simultaneously and the time for analyzing each particle is similar, with a paralleled computing on 4 CPU threads, each thread will be allocated approximately 25 particles for peridynamic analysis and the computing time can theoretically be reduced to about 25% of that without parallel computing. If a more powerful computing facility is available, e.g., with 36 CPU threads, the computing time may be theoretically reduced to about 3% of that without parallel computing.

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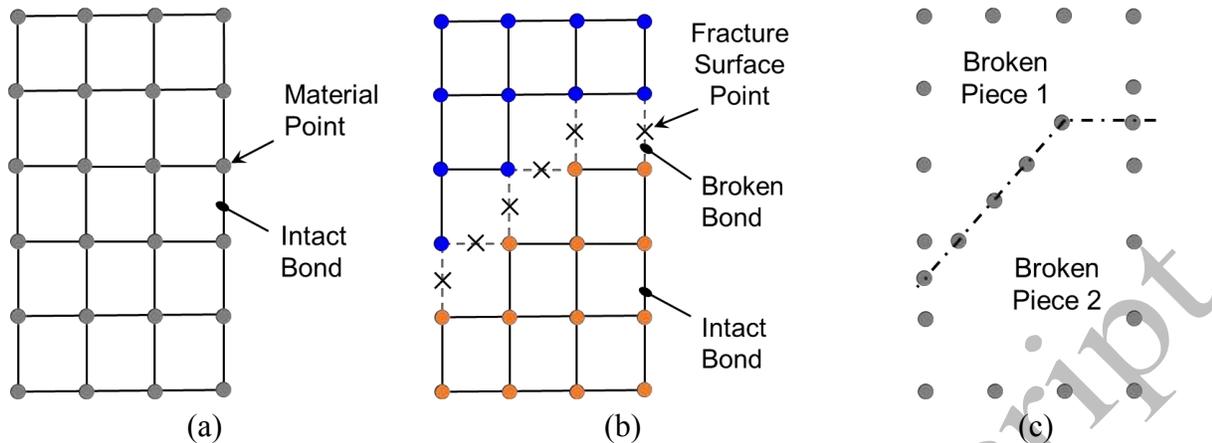
Stage 3: Build child particles when breakage occurs. Following the peridynamic analysis, a particle may be found either intact or split into several major pieces. In the former case, the particle will be kept in the physics engine. In the latter case, child particles will be built based on peridynamic analysis results and the original particle will be replaced by the child particles in physics engine before advancing the time step. This procedure is further delineated in the following section as it contains several technical procedures.

372

3.2 Building child particles

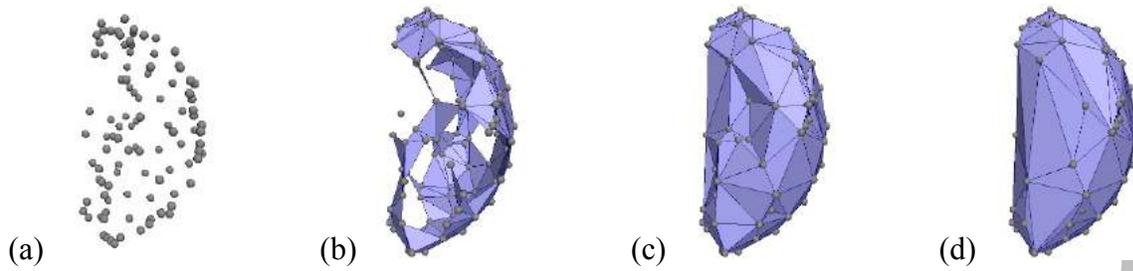
At completion of the peridynamic analysis, the status of the particle can be determined by reviewing damage of peridynamic bonds. Here we only consider bonds between immediate neighboring points for efficiency and robustness of the algorithm. For a crushed particle, the broken pieces can be viewed as several clusters of material points which are internally connected by the bonds but isolated with each other. Fig. 5 gives a 2D illustration of such concept (our following simulation is 3D). When the domain is split into two pieces, every point inside either Piece 1 or Piece 2 are connected through bonds, but no connectivity can be found between the two pieces. Therefore, a particle is considered crushed if more than one major cluster of material points can be identified. Breakage of a particle often generates several major broken pieces together with many fine fragments. In this study, a child particle is defined to have no less than 3% of the volume of its parent particle (this threshold is of course adjustable subject to practical need). Consequently, the fine fragments, as represented by isolated material points in peridynamic analysis, are not modeled as child particles to save computational cost. However, to maintain mass and volume conservation, those fragments are not ignored but are “attached” to the nearest major pieces.

388



390 Fig. 5. A 2D illustration of the process of building vertices of child particles based on
 391 peridynamic analysis results. (a) original and intact domain; (b) obtain fracture surface points
 392 from peridynamic analysis results; (c) define vertices for broken pieces.
 393

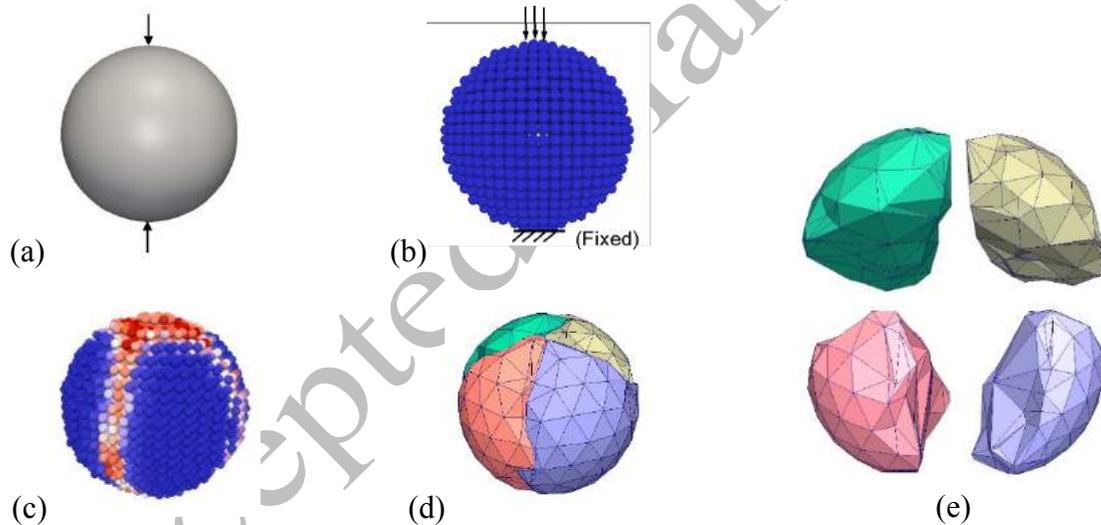
394 Building the morphology of child particles consists of two key steps. The *first* step is to build
 395 boundary vertices of the child particles as illustrated in Fig. 5. The boundary of a child particle
 396 consists of fracture surface points and original domain boundary points. The fracture surface
 397 points are created by taking arithmetical average of two points sharing a broken bond. The
 398 *second* step is to build polyhedron based on the boundary vertices. Here we utilize the concept of
 399 3D alpha shape [74] and employ the Computational Geometry Algorithms Library (CGAL) [75]
 400 to perform the task. Alpha shape is a technique commonly used for surface reconstruction from a
 401 set of unorganized data points [76]. The process of building a 3D alpha shape from a point set is
 402 illustrated in Fig. 6. The point set itself as shown in Fig. 6(a) can be seen as an alpha shape with
 403 alpha value of zero. While increasing the alpha value, edges and faces will form and eventually a
 404 convex hull is obtained when the alpha value is large enough. The process of building a
 405 polyhedron of child particle seeks for a proper stopping criterion, or in other words, a proper
 406 alpha value which renders a polyhedron that does not self-intersect, and bears no singular edges
 407 and faces. Such requirement can be quantified by requiring the Euler characteristic to be 2, or
 408 expressed by $V + F - E = 2$ where V , F , and E represent number of vertices, facets, and edges of
 409 the polyhedron, respectively. Fig. 6(c) illustrates a generated child particle using such criterion.
 410 The generated polyhedron may be either convex or concave. Nonetheless, considering the high
 411 computational cost associated with modeling concave shapes, any polyhedron having equivalent
 412 diameter less than 0.7 mm or convexity not less than 0.85 are further simplified to its convex hull
 413 for discrete modeling in this study. The convexity is defined by the ratio of the volume of a
 414 polyhedron to the volume of its corresponding convex hull. Such simplification ignores local
 415 morphology features of the particles but offers appreciable savings on computational cost. The
 416 overall shape characteristic of particles, such as the elongation, flatness and aspect ratio, are not
 417 expected to be apparently affected by such simplification. A drawback of simplifying particles
 418 into convex shapes is that the total volume of child particles will be slightly larger than the
 419 volume of the parent particle. To ensure mass and volume conservation, the generated child
 420 particles are shrunken slightly until the total volume of child particles equals the volume of the
 421 parent particle.



423 Fig. 6. An illustration of the process of building 3D alpha shape from a set of vertices. (a)
 424 vertices defining a particle; (b) generated shape with a small alpha value; (c) a non-self-
 425 intersecting polyhedron with concave features obtained with a sufficient alpha value; (d) a
 426 convex hull polyhedron obtained with a large alpha value.

427

428 The child particles are then created in physics engine, occupying the space originally
 429 occupied by their parent particle, with the original particle removed. The child particles may
 430 experience slight overlapping immediately after they are created, which will be resolved quickly
 431 through the overlapping correction procedure presented in Eq. (8). A complete process of
 432 initialization of peridynamic analysis and constructing child particles is illustrated in Fig. 7.



433 Fig. 7. Illustration of modeling process of a particle undergone crushing: (a) a particle subjected
 434 to contact forces; (b) discretized particle for peridynamic analysis; (c) peridynamic analysis
 435 result indicating crushing of the particle; (d) modeled child particles in physics engine; and (e) a
 436 split view of the child particles.

437

438 4. Implementation of statistical particle strength

439 4.1 Weibull distribution of particle strength

440 For natural sand, a good number of studies have shown that particle strengths follow Weibull
 441 statistical distribution [2,77-79] which defines survival probability of particles by an exponential
 442 function:

$$P_s = \exp \left[- \left(\frac{d}{d_0} \right)^3 \left(\frac{\sigma}{\sigma_0} \right)^\psi \right] \quad (11)$$

443 where P_s represents survival probability of a particle with a size d and a characteristic strength σ .
 444 Under typical experiment settings, the characteristic strength of a particle is calculated by
 445 dividing the applied uniaxial force by the square of particle size [79]. In the current study, the
 446 particle size refers to the equivalent diameter of a particle. σ_0 represents characteristic strength
 447 corresponding to 37% survival probability for particles with size d_0 . ψ represents Weibull
 448 modulus which is material dependent. The lower the ψ , the larger variation in particle strength.
 449 Typical values of Weibull modulus for silica sand vary in the range of approximately 1 to 4 [77-
 450 79]. For sand with relatively high purity, such as quartz sand, a relatively large Weibull modulus
 451 can be expected. On the other hand, sand containing mixture of minerals often exhibit small
 452 Weibull modulus, indicating large variations in particle strength. In this study we assume a
 453 typical Weibull modulus of 3.1 for numerical modeling [60]. Implementation of Weibull
 454 statistics on particle strength consists of two procedures, one pertains to assigning strengths to
 455 particles in the initial packing, the other addresses the size effect when particles crush and evolve
 456 into smaller ones. The two procedures are discussed in Sections 4.2 and 4.3, respectively.

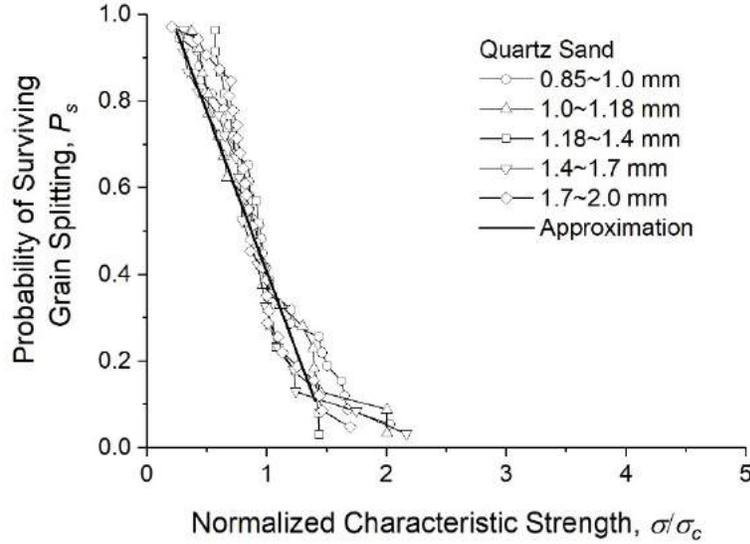
457

458 4.2 Particle strength in the initial packing

459 The survival probability curves for various size of quartz sand particles are shown in Fig. 8
 460 where particle strengths are normalized by a characteristic strength of the same size [8,78]. The
 461 figure indicates that particle size (at least within the tested range) does not impose apparent
 462 influence on the normalized strength. In our modeling, similar to the approach used by Hanley et
 463 al. [80], a linear simplification to the survival probability curve is considered as shown in Fig. 8.
 464 For each particle in the initial packing, a unique strength can be assigned following Eq. (12),
 465 where d_0 and σ_0 represent the size and characteristic strength of a reference particle, a and b are
 466 the slope and vertical intercept of the simplified survival probability curve, which have been
 467 determined to be -0.76 and 1.13, respectively. $U(0,1)$ represents a random number between 0 and
 468 1 drawn from a uniform distribution.

$$\sigma = \sigma_0 \frac{U(0, 1) - b}{a} \left(\frac{d}{d_0} \right)^{-\frac{3}{\psi}} \quad (12)$$

469



470
471 Fig. 8. Normalized survival probability of quartz sand particles and approximation.
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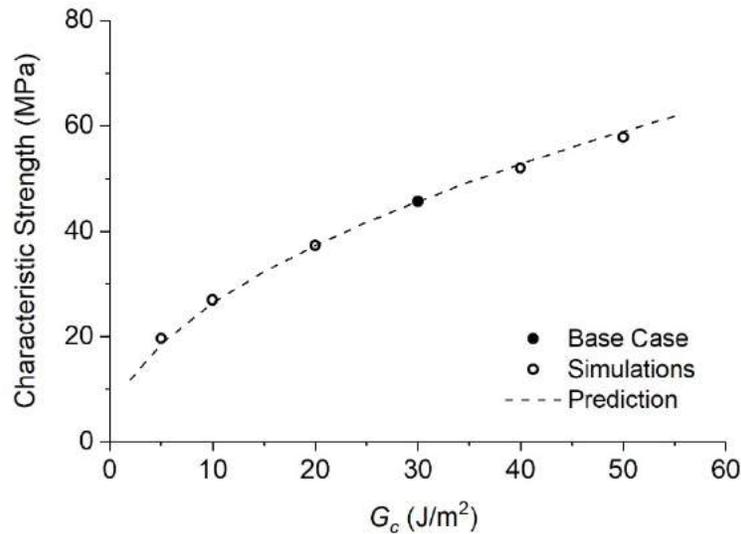
473 In peridynamic analysis, nonetheless, the strength of a particle is quantified by the critical
474 energy release rate G_c . Particles with different strengths can be modeled by assigning different
475 G_c values. Larger G_c represents stronger material since more energy is required to create new
476 material surfaces and vice versa. A relationship between the characteristic strength σ and G_c must
477 be established for implementation of Eq. (12) in peridynamic analysis. Such relationship may be
478 found from a “classical” scenario where a particle crushes under uniaxial loadings. In such
479 scenario, the characteristic strength σ is proportional to the maximum crushing force on the
480 particle which bears a linear relation to maximum tensile stress inside the particle [81]. The
481 maximum tensile stress is proportional to the maximum tensile strain ε_t for a linear elastic
482 material. The ε_t is essentially proportional to the critical stretch (maximum allowed strain of a
483 peridynamic bond) which is related to G_c through Eq. (5). Therefore, it is not difficult to deduce
484 that the characteristic strength of a particle, σ , is proportional to the square root of G_c for a given
485 particle. The relation may be expressed by $\sigma \propto \sqrt{G_c}$, and Eq. (14) can be rewritten as:

$$G_c = G_{c0} \left(\frac{U(0, 1) - b}{a} \right)^2 \left(\frac{d}{d_0} \right)^{-\frac{6}{\psi}} \quad (13)$$

486 where G_c and G_{c0} represent critical energy release rate of particles with size d and d_0 ,
487 respectively. G_{c0} and d_0 should be known values and serve as a reference based on which the
488 strengths of particles are defined. Favourably, G_{c0} and d_0 should be determined or calibrated
489 based on experimental studies to ensure that the assigned strengths are realistic reflection of
490 natural material properties. In the present study, we adopt $G_{c0} = 30 \text{ J/m}^2$ for a particle with $d_0 =$
491 2.0 mm as the reference case. Under such case, a peridynamic simulation of uniaxial
492 compression of the particle yields a characteristic strength near 45 MPa which agree well with
493 experimental records for typical silica sand [60]. With such reference established, the strength of
494 each particle in the initial packing can be assigned following Weibull distribution using Eq. (13).
495 The breakage analysis threshold mentioned in Section 3.1 is also assigned to each particle based
496 on Eq. (12).

497

498 The relation of $\sigma \propto \sqrt{G_c}$ is critical in establishment of Eq. (13). As a verification to such
499 relation, a series of peridynamic simulations of uniaxial particle crushing were performed. The
500 simulations were set up with the techniques introduced in Section 3. A base case is selected for a
501 particle with 2.0 mm diameter and $G_{c0} = 30 \text{ J/m}^2$, which yields a crushing force of about 180 N
502 in the simulation, corresponding to a characteristic strength near 45 MPa. Prediction of particle
503 strength following the relationship of $\sigma \propto \sqrt{G_c}$ is shown in Fig. 9 by the dashed line. Simulations
504 are then performed for cases where $G_c = 5, 10, 20, 40,$ and 50 J/m^2 . Good agreement can be
505 observed between the simulation results and theoretical prediction while the slight deviation may
506 be explained by the simplified contact model we adopted. The simulations further confirm the
507 validity of the relation we established between σ and G_c .



508

509 Fig. 9. Verification of relationship between G_c and σ by peridynamic analysis of a particle
510 crushed under uniaxial loadings.

511

512 4.3 Size effect on particle strength

513 Crushing of particle results in reduction in particle size. The Weibull's weakest link theory
514 [82] points out that large particles are more prone to crushing since they tend to contain more
515 and bigger defects, whereas small particles in general contain less and smaller defects.
516 Consequently, small particles tend to be stronger than large particles. When crushing occurs to a
517 particle, such size effect needs to be quantified. Nakata et al. [78] have shown that the
518 characteristic strengths of particles with different sizes observe the following relation:

$$\frac{\sigma_a}{\sigma_b} = \left(\frac{d_a}{d_b}\right)^{-\frac{3}{\psi}} \quad (14)$$

519 where σ_a and σ_b represent characteristic strength of two particles a and b with equivalent size of
520 d_a and d_b , respectively. If we consider particle a as a child particle of its parent particle b and
521 incorporate the relation between σ and G_c , Eq. (14) can be rewritten as:

$$G_{c-ch} = G_{c-pr} \left(\frac{d_{ch}}{d_{pr}} \right)^{-\frac{6}{\psi}} \quad (15)$$

522 where G_{c-ch} and G_{c-pr} represent critical energy release rates for the child particle and parent
 523 particle, respectively. d_{ch} and d_{pr} represent the size of child particle and parent particle,
 524 respectively. Eq. (15) is used to assign strength to each child particle formed from breakage. The
 525 breakage analysis threshold as mentioned in Section 3.1 is assigned to each child particle
 526 following Eq. (14).

527

528 It needs to be mentioned that the quantification of particle strength following Eqs. (13) & (15)
 529 inherently assumes that the characteristic strength of a particle is solely decided by the critical
 530 energy release rate. However, the formulation presented in Eq. (5) brings a certain (and
 531 unwanted) size effect since the critical stretch is determined by both G_c and the horizon δ which
 532 is proportional to the size of particle. It is not difficult to discern that such formulation indicates a
 533 relation of $\sigma \propto \sqrt{G_c/d}$ instead of $\sigma \propto \sqrt{G_c}$. Therefore, we further modify the critical stretch of
 534 particles in peridynamic analysis by implementing:

$$s_c = s_{c(ref)} \sqrt{\frac{d}{d_{ref}}} \quad (16)$$

535 where $s_{c(ref)}$ represents critical stretch calculated using Eq. (5) for the reference particle (e.g.,
 536 with $d_{ref} = 2.0$ mm). Implementing Eq. (16) ensures that characteristic strength of a particle is
 537 solely a function of G_c . The purpose of such modification is to support the implementation of
 538 Weibull statistics on particle strength for natural granular materials. The modification does not
 539 imply any fundamental change to the peridynamics theory presented in Section 2 and should
 540 only be applied for the specific purpose.

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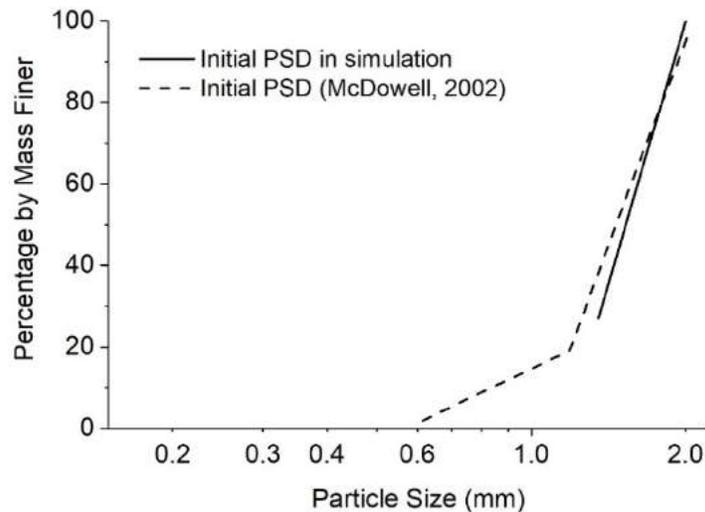
542 5. Simulation of 1-D compression of sand

543 A 1-D compression of sand is simulated using the presented numerical framework. The sand
 544 sample consists of initially 720 spherical particles packed in a rigid box having a dimension of
 545 13.6 mm by 13.6 mm and a height of about 14 mm with smooth boundaries. The initial sample is
 546 slightly polydispersed and consists of particles with diameters ranging from 1.4 mm to 2.0 mm.
 547 The particle size distribution (PSD) is shown in Fig. 10. The PSD is similar to that adopted in a
 548 1-D compression experiment performed by McDowell [60] to ease subsequent comparisons. The
 549 initial packing was generated by two steps. First, a “cloud” of particles is generated inside the
 550 rigid box and the sizes of particles are assigned randomly following the PSD. Then, the particles
 551 are pushed by a rigid plate with a small force from top towards bottom of the rigid box until a
 552 stable packing is formed. The initial void ratio of the generated packing is about 0.71. The
 553 parameters of sand adopted for the analysis are summarized in Table 1.

554

555 Vertical pressure is applied on a rigid platen modeled at top of the sample by a stress-
 556 controlled mode. The pressure is set to increase linearly with time up to 30 MPa with a duration
 557 of 0.15 s. Time step has been chosen according to the pressure level, considering the fact that
 558 particle size generally reduces with increasing load which calls for smaller time step for better

559 accuracy. In current study, a time step of 8×10^{-5} s, 6×10^{-5} s, and 5×10^{-5} s is used for vertical
 560 pressure below 10 MPa, between 10 MPa and 24 MPa, and above 24 MPa, respectively.
 561 Breakage of particles is checked at every 0.08 MPa pressure increment. As a common practice, a
 562 crushing limit is also set in the model at 0.3 mm. In other words, a particle with equivalent
 563 diameter less than 0.3 mm will not be analyzed for breakage. The continuous crushing process of
 564 the sample may not be a strict quasi-static process since the particles move and rearrange
 565 themselves during the loading process. It is assumed in this study that those particles in motion
 566 will not undergo crushing. Numerical wise, particles possessing an unbalanced force ratio above
 567 0.02 or an unbalanced moment ratio above 0.2 are considered in motion and are not selected for
 568 breakage analysis. The unbalanced force ratio is calculated by the ratio of unbalanced force on a
 569 particle to the average force magnitude on that particle. Similarly, the unbalanced moment ratio
 570 is defined as the ratio of unbalanced moment on a particle about the point of fixity to the average
 571 magnitude of moment on that particle. To enhance stability of the simulation, the mass of the
 572 modeled particles are amplified by 70 times. Such magnitude of mass scaling has been carefully
 573 checked to avoid bringing inertia effect into the simulation. If a higher mass scaling is applied,
 574 the time step may be taken larger but the duration of simulation also needs to be longer to avoid
 575 inertia effect.
 576



577
 578 Fig. 10. Initial particle size distribution of the simulated sample.
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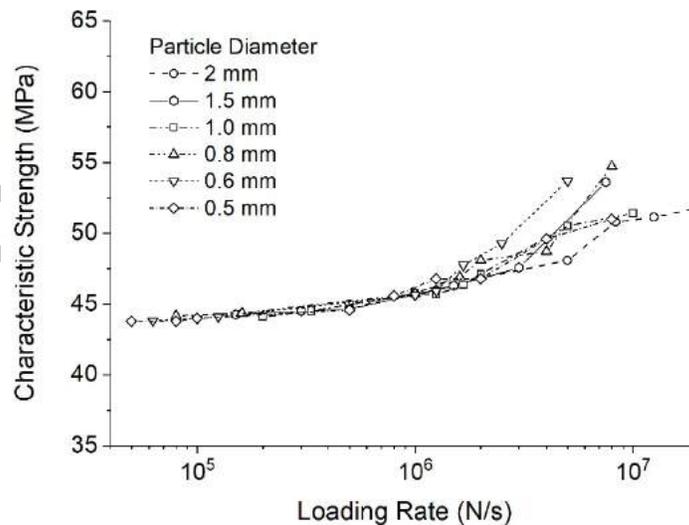
580 Table 1. Summary of adopted parameters of sand in simulation

Parameter	Value
Density (kg/m^3)	2650
Young's modulus (GPa)	100
Poisson's ratio	0.15
Critical energy release rate (J/m^2) (base case for a 2 mm dia. particle with characteristic strength of 45 MPa)	30
Weibull modulus	3.1

Inter-particle friction coefficient	0.5
Particle-wall friction coefficient	0.0
Rolling friction coefficient	0.05
Restitution	0.0

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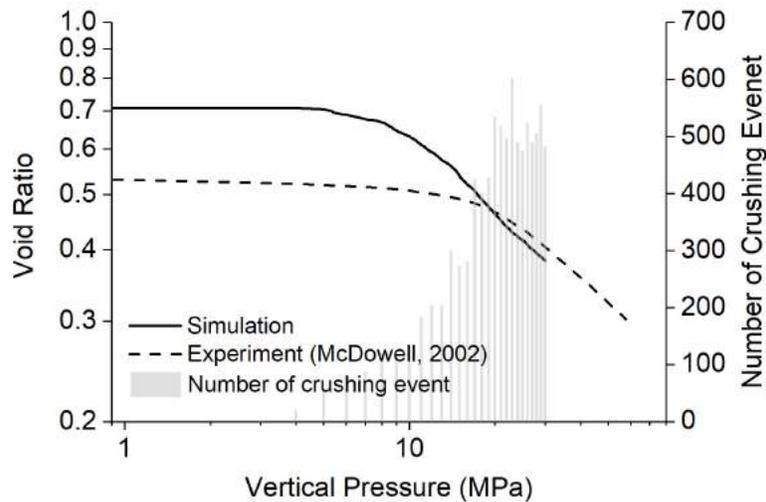
In peridynamic analysis, when boundary conditions are applied on particles, the forces are set to increase linearly with time and the loading rate is selected according to particle size. We perform a set of simulations of uniaxial crushing of spherical particles with sizes ranging from 0.5mm to 2.0mm to determine the appropriate loading rate in peridynamics. As shown in Fig. 11, the failure load generally exhibits an increasing trend with higher loading rates. Large particles appear to be less sensitive to loading rate, allowing selection of higher loading rates without causing apparent influence on the failure force level. Small particles, on the other hand, are less tolerant to the increase of loading rate. In the simulation we have selected the loading rate to be 2.0×10^6 N/s, 1.2×10^6 N/s, and 1.0×10^6 N/s for particles with equivalent diameter d_e of 1.0 ~ 2.0 mm, 0.6 ~ 1.0 mm, and below 0.6 mm, respectively. The selected loading rates aim to maximize computational speed in peridynamic analysis. The failure force level may be affected slightly as a result of elevated loading rate, but they still represent realistic strengths of sand particles. The breakage patterns are also checked and found not affected by the selected loading rates. For the presented simulation, the computational time is approximately 200 hours if run on a desktop with 4 CPUs at 3.5 GHz. The time can be greatly reduced if more computing power is available. For instance, with 36 CPUs at the same frequency we would estimate a 40% to 50% saving in computing time. It should be noted that there remain large room for further improvement of the computational efficiency by parallelizing the discrete modeling in physics engine, which we intend to address in a separate study.



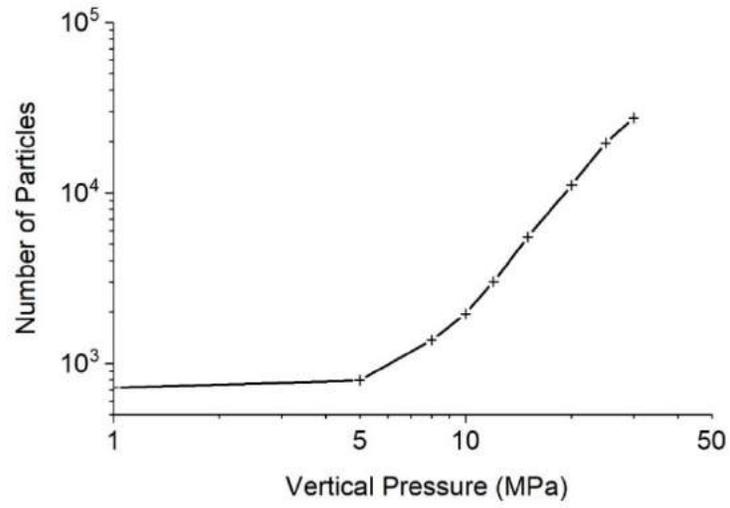
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Fig. 11. Loading rate effect on characteristic strength of single particles under uniaxial loadings.

606 The normal compression line (NCL) obtained from the simulation is presented in Fig. 12.
 607 Together shown is the number of crushing events recorded in the simulation at different vertical
 608 stress levels. At low stress levels (i.e., 0 ~ 3 MPa), no crushing event was recorded in the
 609 simulation due to the small contact forces experienced by the particles. With increasing stress
 610 levels (i.e., 4 ~ 10 MPa), tens to about a hundred particles experienced crushing during each 1
 611 MPa stress increment, and the NCL shows apparent curvature which reflects the void reduction
 612 resulted from crushing and rearrangement of particles. Yielding of the sample may be defined at
 613 stress levels of 6 MPa to 8 MPa. Further increasing the stress level led to significant particle
 614 crushing in the sample. At stresses above 20 MPa, the number of particles experienced crushing
 615 surged to 500 ~ 600 in each 1 MPa increment. The recorded NCL is approximately linear when
 616 plotted in a log-log space, with a slope of approximately 0.52 which agree reasonably with past
 617 experimental and analytical studies [60,83]. The recorded number of particles in the simulation
 618 versus vertical pressure is shown in Fig. 13. The number of particles increases apparently at
 619 yielding of the sample, and then starts to increase exponentially. At the end of the simulation, the
 620 number of particles is approximately 27,400, which is nearly 40 times the number of particles at
 621 the beginning of the simulation. The observation again implies a high computational cost for
 622 both breakage analysis and discrete modeling at large stress levels. The simulated sand sample at
 623 difference loading levels are visualized in Fig. 14. At a stress level of 5 MPa, only a few particles
 624 are noticed to experience crushing. With increasing stress, more particles in the initial packing
 625 experienced crushing and some broken pieces have experienced continuous crushing. At high
 626 stress levels (e.g., 20 ~ 30MPa), many particles have gone through several crushing events and
 627 formed small pieces filling the voids between large particles. Some large particles are preserved
 628 even at the stress level of 30 MPa, probably due to the cushion effect from the surrounding small
 629 particles which mitigates stress and force concentration on those large ones [11]. The particle
 630 size distributions at selected stress levels are shown in Fig. 15 where reasonable agreement can
 631 be observed between simulation results and experimental records.



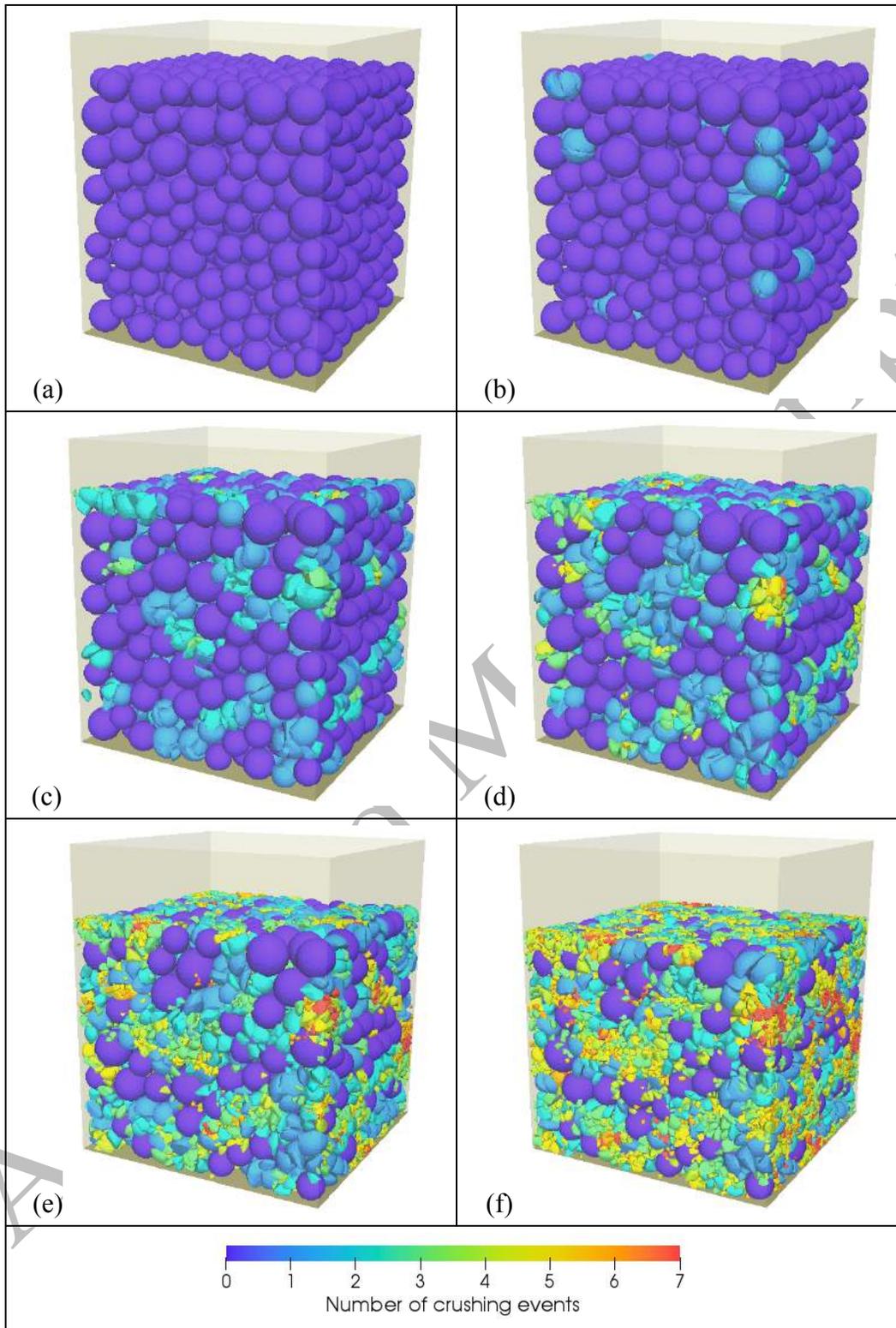
632 Fig. 12. Normal compression line obtained from simulation.
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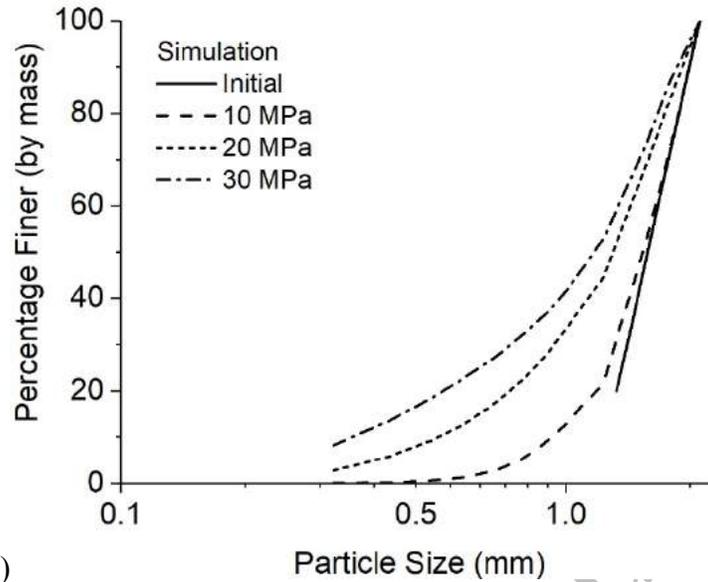
Fig. 13. Number of particles in the sample at different loading levels.

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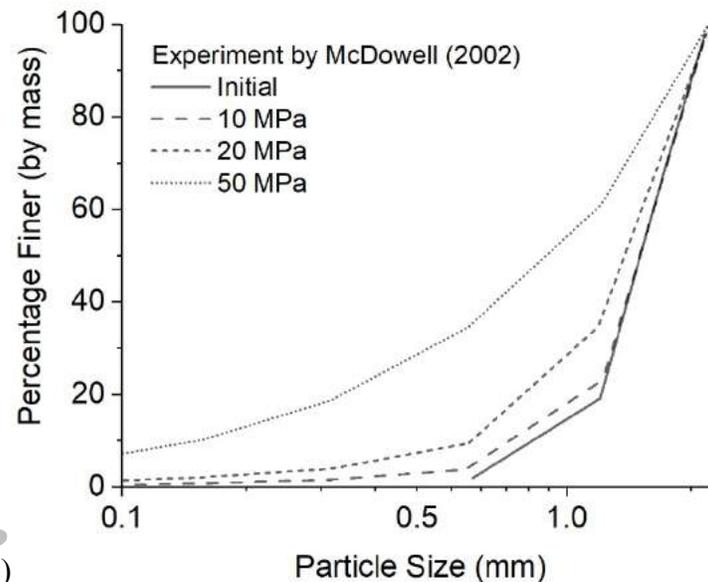
637 Fig. 14. Simulated sand sample at different vertical pressures. (a) initial condition; (b) $\sigma_v = 5$
 638 MPa; (c) $\sigma_v = 10$ MPa; (d) $\sigma_v = 15$ MPa; (e) $\sigma_v = 20$ MPa; (f) $\sigma_v = 30$ MPa. The color indicates the
 639 number of crushing events experienced by a particle.
 640

641



642

(a)



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(b)

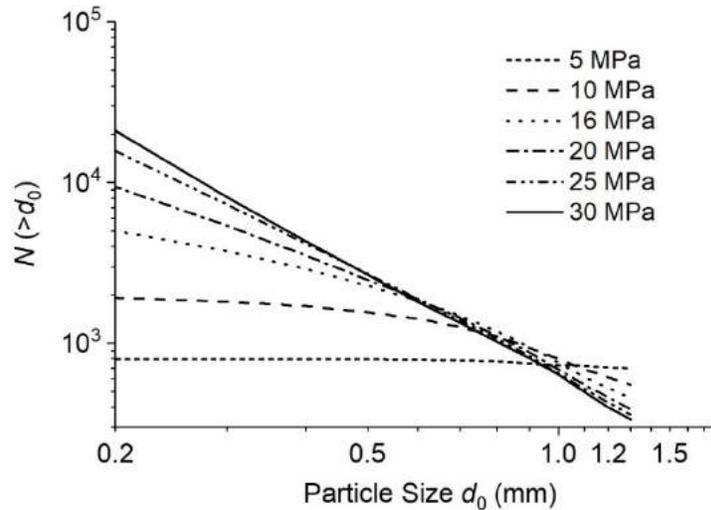
644 Fig. 15. Evolution of particle size distribution obtained from simulation (a) and comparison with
645 experimental results (b).
646

647 As a naturally occurring material, sand follows a scale invariant process during its
648 fragmentation which can be described by the concept of fractal [54,84]. The size of sand
649 particles is known to follow a fractal distribution, which defines the number of particles with size
650 larger than d_0 to have a power law relation to the size d_0 as presented by:

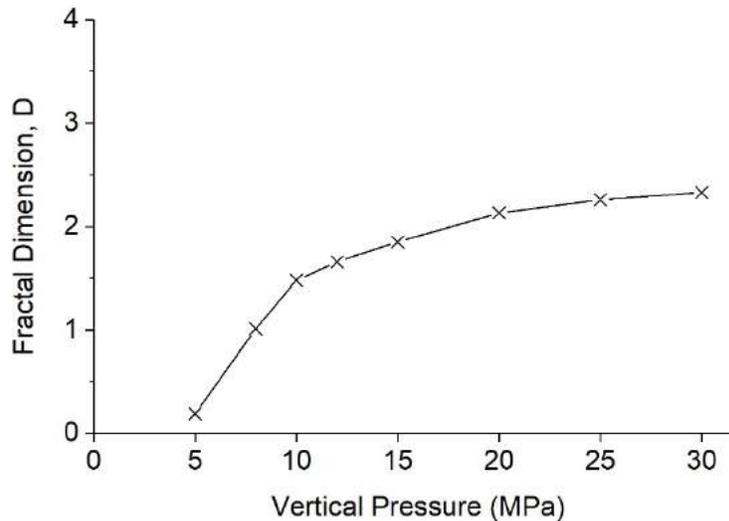
$$N(d > d_0) \propto d_0^{-D} \quad (17)$$

651 where D is known as fractal dimension. Various studies [54,56,59] have suggested a fractal
652 dimension ranging from slightly below 2.0 to near 3.0 for natural sand. The fractal dimension is

653 obtained from simulation results by plotting $N(d > d_0)$ versus d_0 in a log-log space as shown in
 654 Fig. 16. The slope of those lines, which indicates fractal dimension at different loading levels,
 655 are summarized and plotted in Fig. 17. It is clearly indicated that the fractal dimension raises
 656 with increasing load and stays nearly constant at a reasonable value of approximately 2.3.



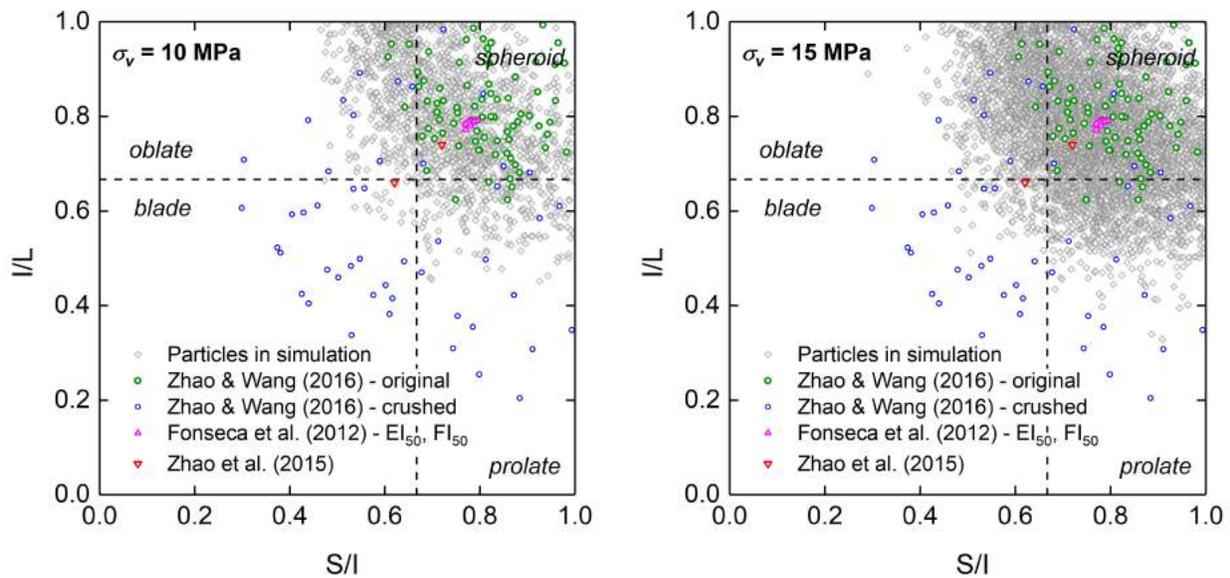
657
 658 Fig. 16. Particle size distribution plotted in N (with $d > d_0$) versus d_0 .
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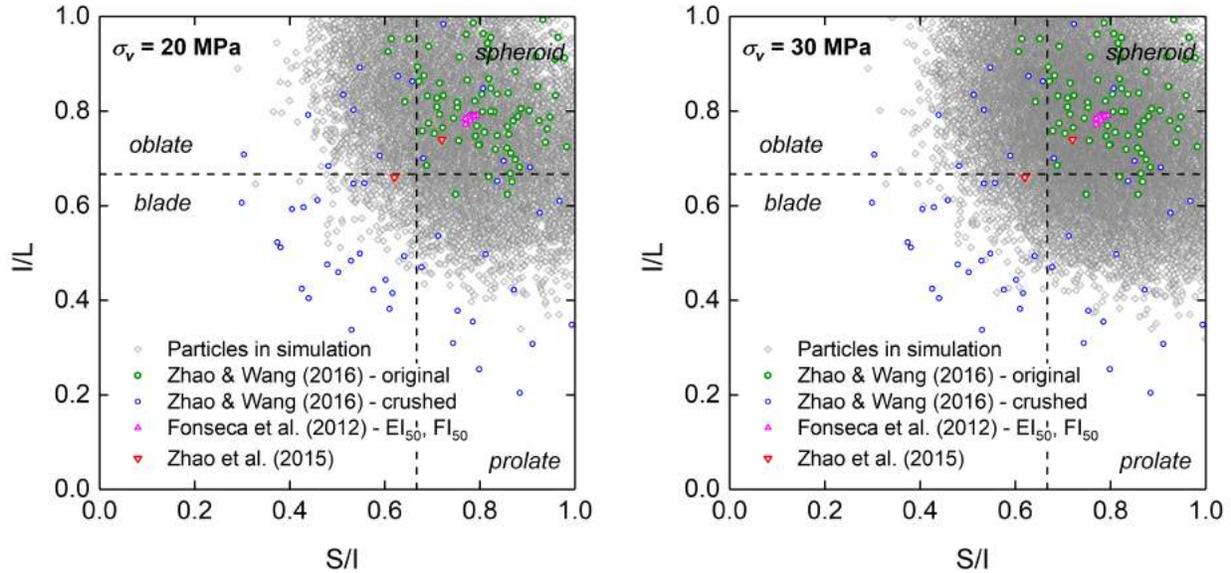


660
 661 Fig. 17. Fractal dimension evolution with increasing vertical pressure.
 662

663 We further extend the study to examine the shape of particles formed in the crushing process.
 664 This field was poorly explored in the past as the traditional spherical particle DEM was
 665 incapable to quantify particle shapes with reasonable accuracy and other methods were not
 666 efficient enough to generate statistically meaningful results. The shape of a particle may be
 667 quantified by various parameters such as sphericity, convexity, elongation, flatness, aspect ratio,
 668 and roughness. In this study we only examine two essential particle shape features: flatness index
 669 (FI) and elongation index (EI). Other features of the particles may be studied with more detailed
 670 modeling of particle surface morphology and is beyond the scope of current study. The FI and EI

671 can be calculated by $FI = S/I$ and $EI = I/L$, where S, I, L represent short, intermediate, and long
672 dimension of a particle, respectively. Here we use a principal axis approach [85-86] to define the
673 three dimensions of a particle. For each particle, the principal axes of inertia are found first and
674 the particle is rotated so that the principal axes of inertia coincide with the Cartesian coordination
675 axes. The dimensions S, I, L correspond to the minimum, intermediate, and maximum expansion
676 on the three Cartesian directions, respectively. The shapes of simulated particles are shown in a
677 Zingg diagram in Fig. 18 at vertical pressures of 10, 15, 20, and 30 MPa. The diagram clearly
678 indicates that particles undergone crushing tend to possess EI and FI both between 0.5 and 1.0. A
679 large portion of the particles fall within the category of “spheroid” in the classification by Zingg
680 [87]. Particles having EI below 0.4 (i.e., highly elongated) or FI below 0.4 (i.e., thin shape) are
681 rare since they are prone to breakage and are unlikely to survive through continuous breakage
682 process. Experimental measurement on the elongation and flatness of sand particles is very
683 limited. Nonetheless, three sets of experimental records are obtained from literatures and are
684 presented in Fig. 18 for comparison. Among them, Fonseca et al. [85] measured samples of
685 Reigate sand particles which is formed by cemented quartz mineral. Zhao et al. [59] measured a
686 few Leighton Buzzard sand (LBS, a type of silica sand) and highly decomposed granite sand
687 particles. The measurements by Zhao & Wang [86] are also for LBS particles and two subsets of
688 data are available, corresponding to the original particles selected for crushing tests and the
689 broken pieces from single particle crushing tests, respectively. The experimental measurements
690 indicate that both EI and FI of the sand particles fall most likely between 0.5 and 1.0, and within
691 the “spheroid” region, which confirm the simulation results of particle shapes with respect to
692 flatness and elongation. For the particles that undergone single particle crushing test (e.g., the
693 crushed particles in Zhao & Wang [86]), lower values of EI and FI were observed in experiment.
694 This is not surprising as particles undergone one or a few crushing events under uniaxial loading
695 is likely elongated or flat. If those broken pieces are placed in the context of granular media and
696 undergone a continuous breakage process, those elongated or flat particles will likely diminish.
697





698 Fig. 18. Zingg diagram of simulated particles at 10 MPa, 15 MPa, 20 MPa, 30 MPa and
 699 comparison with experimental records.

700

701 The simulation therefore confirms the capability of the proposed numerical framework in
 702 predicting reasonable macroscopic behavior of crushable granular sand under 1-D compression.
 703 It may also be desirable to review the simulation results from a microscopic perspective by
 704 comparison with experimental measurements on microscopic behaviours such as crack patterns
 705 and fabric structures. The microscopic measurement on crushable granular material is often
 706 aided by scanning electron microscope (SEM) or X-Ray scanning techniques. Nonetheless,
 707 relevant experimental works remain limited for an in-depth comparison at the moment, and a
 708 separate study focusing on microscopic aspects will be performed in a later stage.

709

710 6. Conclusions and outlook

711 In this paper we presented a novel hybrid computational framework combining peridynamics
 712 and a CD-based physics engine for modeling crushable granular material. Also introduced is a
 713 strategy to implement Weibull statistical distribution on particle strength for natural materials.
 714 The framework utilizes peridynamics for analyzing crushing of individual particles and physics
 715 engine for modeling granular system. The framework is advantageous over traditional methods
 716 in the sense that continuous particle breakage is rigorously modeled and irregular particle shapes
 717 are handled. Simulation of 1-D compression of a sand sample has been presented which
 718 demonstrated that the proposed numerical framework produced reasonable results with respect to
 719 particle size distribution, fractal dimension, normal compression, as well as particle morphology.
 720 The proposed computational framework offers a pathway to investigate many aspects of micro-
 721 mechanical behaviour of crushable granular materials, such as particle shape evolutions, fabric
 722 structure, particle crushing conditions and fracture pattern, and energy consumption in the
 723 crushing process. Based on new sights derived from these aspects, key theories and concepts
 724 governing continuum mechanics of crushable sands, such as shear strength [88-89], critical state

725 [90-92], dilatancy and fabric evolution [93-95], can all be reassessed and reformulated for better
726 understanding and prediction of material crushing. The entire algorithm proposed in the study
727 can also be conveniently embedded into the recently prevailing hierarchical multiscale
728 framework [96-99] for cross-scale modeling of geomechanics problems. Although the presented
729 simulation focused on geomaterials, it is never the intention of the authors to restrict the
730 application of the method within geotechnical discipline. The framework may be further
731 developed to simulate a variety of industrial processes such as grinding, comminution,
732 mechanical crusher processing, and transportation and piling of industrial granular matter.

733
734 The presented work is not without limitations, and future improvements may be made from
735 several aspects: 1) the material in current study has been assumed to be isotropic and
736 homogeneous in peridynamic analysis while anisotropic and heterogeneous materials widely
737 exist in nature, which may lead to different crushing behaviours. The crushing of granular
738 material may also experience influence from stress history, fluid, and temperature change. These
739 scenarios may require more complex material models to be implemented as well as multi-phase
740 modeling; 2) the presented simulation has simplified the particle morphology to some extent for
741 the sake of computational efficiency. Small fragments formed from breakage were not modeled.
742 Detailed modeling of particle surface morphology and the fragments require more powerful
743 computing facility in discrete modeling. A GPU level parallel computing approach appears to be
744 attractive for future enhancement on computational efficiency. More advanced techniques for
745 solving constraint system in physics engine may also be studied to further improve
746 computational efficiency and accuracy.

747

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